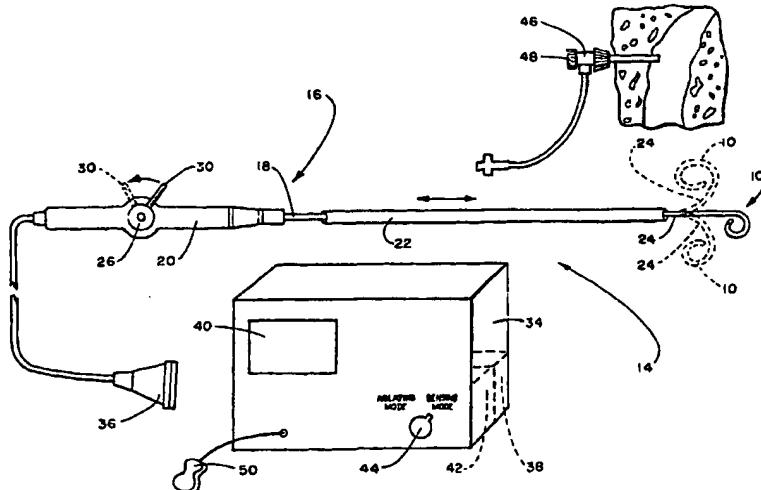




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(54) Title: MULTIPLE ELECTRODE ELEMENT FOR MAPPING AND ABLATING



## (57) Abstract

Systems and methods sense electrical events in heart tissue to identify the location of an arrhythmogenic focus for ablation. The systems and methods establish a contact site between heart tissue and a curvilinear electrode array (e1-e4 or E1-E4). The systems and methods monitor signals representing electrical events sensed by the electrodes in the contact site. The signals are displayed as graphic information that represents the time sequence in which the electrodes sense a given electrical event. By moving the electrode array (e1-e4 or E1-E4) to one or more additional contact sites in the general direction of the electrode that first sensed the electrical event, the physician homes in on a contact site in which all electrodes on the array sense the given electrical event at generally the same time. This contact site contains the arrhythmogenic focus. The systems and methods convey ablating energy to bipolar pairs of the electrodes to form large bipolar lesions in heart tissue.

See MPEP 2106 IVB1(a). The judicious placement of indicia are interrelated with the pack of playing cards to permit the pack of playing card to be able to be used to accomplish a solution to the problem stated in the specification. Through the interdependence, Applicant is able to use a pack of playing cards to play columnar games with less precision of columnar alignment and with less column length than presently achievable. Because of this interdependence, the descriptive material of the printed matter must be considered.

Claim 1 states that the invention is “a pack of playing cards comprising cards having indicia in judicious placement to lessen both precision of **vertical** columnar alignment and columnar length over that obtainable with a previously known pack of playing cards – **including Stauff**. Stauff neither recognizes the problems solved by Applicant’s invention nor offers nor suggests any improvement of playing cards to lessen precision or length over that disclosed, for example, in Fig 1B of the application. As Examiner noted, Stauff teaches a second indicia in the first position having an **upside-down-reading** orientation. This would not solve the problem stated by Applicant. Some indicia, such as heart and spades could be confused in a columnar arrangement if different colors were not used. In addition, first indicia in the top secondary corner are in an **upside-down-reading** orientation. Thus, **other indicia** such as sixes and nines could be confused in a columnar arrangement.

Stauff being **capable** of permitting less precise and shorter columnar arrangements is insufficient for a rejection under 35 U.S.C. 102(b). “A claim is anticipated only if each and every element as set forth in the claim is found, either expressly or inherently described, in a single prior art reference.” *Verdegaal Bros. v. Union Oil of California*, 814 F.2d.628, 631, 2 USPQ2d 1051, 1053 (Fed. Cir. 1987). It is not sufficient to say that a reference is **capable** of permitting a more precise and shorter columnar arrangement without some suggestion by the reference that such a modification is desirable. The Applicant’s invention has the functional property of lessening both precision of **vertical** columnar alignment and columnar length over that obtainable with a previously known pack of playing cards including those taught by Stauff. As stated in Applicant’s specification, this is a solution to a problem faced by many players of card games involving columnar card arrangements, particularly those of Bridge and Solitaire.

Two embodiments further claimed in Claim 2-7 and Claim 8-17 illustrate species that accomplish this improvement. The embodiments of Claim 2, wherein the second indicia are horizontally proximate to the first indicia, permit less precise and shorter columnar arrangements than Stauff because second indicia are horizontally proximate or adjacent to first indicia. Embodiments of Claim 9, claiming a broader location of the second indicia in the first position than that of Claim 2, have the additional limitation from Claim 8 that the indicia be familiar. Upside-down-reading indicia are not familiar and would be a source of confusion in columnar arrangements as previously described. Stauff does not anticipate Applicant's invention disclosed in Claim 9 because horizontally aligned second indicia in the first position in Stauff are upside-down and unfamiliar. No teaching or suggestion is made that it is important to permit right reading second indicia horizontally aligned to first indicia and proximate to the top edge of playing cards to allow for a player to discern second indicia in vertical columns with less length.

The claim limitation "horizontally proximate" cannot have a broad interpretation as suggested by the Examiner to permit Stauff to read on Claim 2 even if orientation of second indicia were not a claimable element, a position Applicant vigorously opposes. Throughout the specifications, Applicant has used proximate and adjacent interchangeably to refer to embodiments exemplified in Fig. 4. To encompass embodiments with second indicia horizontally aligned with first indicia in positions exemplified by Fig. 1A and Fig. 3, Applicant uses the language of Claim 9, "the second indicia in the first position ... being in a region bordering the top edge and extending to the right of the right-reading first indicia but not within a region proximate to the secondary corner formed by the top edge and the right edge," and Claim 12, "wherein the region bordering the top edge extends rightward from a point proximate to the right-reading first indicia to a proximate midpoint between the primary corner."

Since the cited reference does not disclose all of the elements of the claims, Applicant respectfully asks that this rejection be withdrawn for claims 1-17.

**103(a) Rejection by Stauff US D181,884**

Claims 1-17 were rejected under 35 U.S.C. 103(a) as being unpatentable over Stauff. For an obviousness rejection to stand under 35 U.S.C. 103(a) the cited references must teach or suggest all elements of the claimed invention and there must be a motivation to combine the references.

Examiner states that “it would have been obvious for one having ordinary skill in the art at the time the invention was made to modify Stauff’s playing cards by positioning the second indicia (suits) in the first position in a right-reading orientation” bordering “the top edge and making other modifications covered by claims 10-17. These modifications would only depend on the intended use of the assembly and the desired information to be displayed. Further, it has been held that when the claimed printed matter is not functionally related to the substrate, it will not distinguish the invention from the prior art in terms of patentability. In re Gulack, 217 USPQ 401, (CAFC 1983). The fact that the content of the printed matter placed on the substrate may render the device more convenient by providing an individual with a specific type of playing card does not alter the functional relationship. *Mere support by the substrate of the printed matter is not the kind of functional relationship between the claimed printed matter ... and the substrate which is required for patentability.*”

Applicant respectfully disagrees. To establish *prima facie* obviousness of a claimed invention, all the claimed limitations must be taught or suggested by the prior art. In re Royka, 490 F.2d 981 180 USPQ 580 (CCPA 1974). “All words in a claim must be considered in judging the patentability of that claim against the prior art.” In re Wilson, 424 F.2d 1382, 1385, 165 USPQ 494, 496 (CCPA 1970). If an independent claim is non-obvious under 35 U.S.C. 103, then any claim depending therefrom is nonobvious. In re Fine, 837 F. 2d 1071, 5 USPQ2d 1596 (ed. Cir. 1988). “If the differences between the prior art and the claimed invention is limited to descriptive material on a substrate, office personnel must determine whether the material is functional descriptive material or nonfunctional descriptive material as described in MPEP 2106 IV.B.1(a) & IV.B.1(b). “Office personnel should determine whether the descriptive material is being claimed as part of an otherwise statutory manufacture. In such case, the claim remains statutory irrespective of the fact that the descriptive material is included in the claim. In contrast,

non-descriptive material is that which cannot exhibit any functional interrelationship with the performance of a manufacture.” MPEP 2106 VI.

Applicant’s descriptive material in the claims is functional. A claimed manufacture combined with descriptive elements that permit a manufacture’s functionality to be realized (1) defines structural and functional interrelationships between the manufacture and the descriptive elements and (2) is thus statutory. In Applicant’s case, the printed matter elements have a functional relationship with the article of manufacture, the pack of playing cards. As both stated and claimed, the printed matter elements permit the playing cards to be able to be less precisely vertically aligned and aligned in columns having less length. The advantages of this interrelationship are described through out the specification and include, for example, solutions to the stated needs for (1) a pack of cards to play games that involve displaying cards arranged in at least one column where playing area is limited such as, for example, some variations of Solitaire, and (2) a pack to play games that involves displaying some cards in at least one column and displaying others in a fanned arrangement such as, for example, Bridge. The solutions offered by Applicant’s invention result in stated benefits of play, some of which are (1) increased convenience and (2) the ability to play in more cramped environments.

In Applicant’s case, the descriptive elements interrelate with the manufacture (the playing card deck) to permit the playing cards to be used in columnar games with less precision of both vertical columnar alignment and columnar length over that obtainable with a previously known pack of playing cards. This functional interrelationship permits the ability of playing known games but in confined areas not previously possible or possible but only with great difficulty that can cause both physical and mental anxiety. Functional descriptive material is a limitation in the claim and must be considered and addressed in assessing patentability under 35 U.S.C. 103. Thus, a rejection of the claim as a whole under 35 U.S.C. 103 is inappropriate unless the functional descriptive material would have been suggested by the prior art. *In re Dembiczaik*, 175 F.3d 994, 1000, 50 USPQ2d 1614, 1618 (Fed. Cir. 1999)

Since the cited references do not disclose all of the elements of the claims or show any motivation to modify the elements to resemble the claimed elements, Applicant respectfully requests that this rejection be withdrawn for claims 1-17.

### Conclusions

Because of the above remarks, Applicant respectfully requests that the present application be allowed. Should Examiner consider that any minor matters remain prior to issuance of a Notice of Allowance, the Examiner is requested to telephone the undersigned to reach prompt resolution thereof.

In addition, Examiner is again requested to reconsider the restriction made earlier as he has not yet stated his reasons for making the restriction final. It is believed that no additional search would be required for the method claims as the method requires the use of Applicant's deck of playing cards.

Customer Number 44977

CERTIFICATE UNDER 37C.F.R. 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450 on this 11th day of November 2005.

Respectfully submitted,



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sequence of sensing, or it can include colors that change according to the time sequence of sensing.

In a preferred embodiment, the display shows electrogram recordings made by the electrodes.

5 The display orders the presentation of the electrogram recordings from first to last according to time sequence of sensing.

10 In a preferred embodiment, the sequence in which the electrodes sense the given electrical event is time gated.

15 Guided by the display, the physician homes in on the focus by moving the electrode array to one or more additional contact sites in the general direction of the electrode that the display indicates first sensed the electrical event. The physician eventually homes in on a contact site where all electrodes on the array sense the given electrical event at generally the same time. Here is where the focus lies, enclosed within the open 20 interior region of the array.

Ablating energy can then be supplied to the bipolar pairs of the electrodes to form a bipolar lesion within the open interior region of the support body. This ablates the focus.

25 The invention allows the identified arrhythmogenic focus to be mapped and ablated at one time, without the need to employ a separate ablation probe. The invention provides better tissue/electrode contact and stability, to minimize 30 the occurrence of ineffective applications of ablating energy. Furthermore, the invention provides lesions of enlarged size.

35 The invention thereby provides shorter and more effective procedures, with greater incidence of curative lesions.

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Brief Description of Drawings:

Fig. 1 is a multiple function element for mapping and ablating interior regions of the heart;

5 Fig. 2 is a system for introducing, deploying and using the element shown in Fig. 1 to map and ablate interior regions of the heart;

10 Fig. 3 is a side section view of a movable guide sheath used to shape the element, with the guide sheath moved forward to straighten and enclose the element;

Fig. 4 is a side section view of the movable guide sheath shown in Fig. 3, moved rearward to deploy the element for use;

15 Fig. 5 is a top view, with portions removed and in section, a steering mechanism that can be used to remotely steer the element;

Fig. 6 is a view of the mapping display the system shown in Fig. 2 generates in use with the element shown in Fig. 1;

20 Fig. 7A is a diagrammatic view of the element shown in Fig. 1 located within the heart at a position spaced from an arrhythmogenic focus;

Fig. 7B is a view of the mapping display generated when the element is positioned as shown in Fig. 7A;

25 Fig. 8A is a diagrammatic view of the element shown in Fig. 1 located within the heart at a position closer to the arrhythmogenic focus than shown in Fig. 7A;

30 Fig. 8B is a view of the mapping display generated when the element is positioned as shown in Fig. 8A;

35 Fig. 9A is a diagrammatic view of the element shown in Fig. 1 located within the heart at a position centered about the arrhythmogenic focus

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shown in Fig. 7A;

Fig. 9B is a view of the mapping display generated when the element is positioned as shown in Fig. 9A;

5 Fig. 10A is a diagrammatic view of the element shown in Fig. 1 located within the heart at a position spaced from an arrhythmogenic focus;

10 Fig. 10B is a view of an alternative mapping display that includes electrogram morphologies generated when the element is positioned as shown in Fig. 10A;

Fig. 11 is a somewhat diagrammatic top view of a lesion formed by the element shown in Fig. 1, when operated in a bipolar mode; and

15 Fig. 12 is a somewhat diagrammatic side section view of the lesion formed by the element, taken generally along line 12-12 in Fig. 11.

20 The invention may be embodied in several forms without departing from its spirit or essential characteristics. The scope of the invention is defined in the appended claims, rather than in the specific description preceding them. All embodiments that fall within the meaning and range of equivalency of the claims are therefore intended to be embraced by the claims.

25 Description of the Preferred Embodiment:

Fig. 1 shows a multiple function element 10 that locates and ablates arrhythmogenic foci in the heart.

30 The element 10 comprises an elongated body 12 that is preformed into a generally circular curved shape that resembles a loop.

35 The body 12 is preferably formed of an inert, resilient plastic material which retains its shape and does not soften significantly at body

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temperature, like Pebax®, polyethylene, or Hytrel® (polyester).

The geometry of the body 12 can be created by thermoforming it into the desired shape. 5 Alternatively, the body 12 can include an interior core of super-elastic material, like Nitinol wire, that is itself preshaped into the desired configuration.

10 This provides the element 10 with a modulus the lends both resilience and mechanical strength. As a consequence, the element 10 can be manipulated to make stable and uniform contact with tissue within the heart.

15 The body 12 carries a first array of ring electrodes (designated e1 to e4 in Fig. 1) aligned side by side along the axis of the body 12. The first electrode array e1 to e4 can also include an electrode on the distal tip of the body 12, as Fig. 1 shows.

20 Each ring electrode e1 to e4 in the first array is about 0.5 to 1.5 mm in length.

25 The body 12 also carries a second array of ring electrodes (designated E1 to E4 in Fig. 1) aligned side by side along the axis of the body 12 in between the electrodes e1 to e4 of the first array.

30 The ring electrodes E1 to E4 in the second array are larger than the ring electrodes e1 to e4 in the first array. Each ring electrode E1 to E4 in the second array is about 2 mm to 5 mm in length.

35 The particular number, spacing, and dimensions of the electrodes e1 to e4 and E1 to E4 in each array can of course vary according to the particular geometry and functionality desired. The loop diameter of the body 12 and electrode placement

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can vary, depending upon the desired lesion size and power output selected.

In the illustrated embodiment, the loop body 12 is about 1 to 2.5 cm in diameter, with the 5 distal-most curve having a tight radius (e.g., less than about 1.5 cm). The loop body 12 carries a total of eight electrodes, which are approximately equally spaced radially about the loop shape. The four smaller electrodes e1 to e4 comprise the first 10 array, and the four larger electrodes E1 to E4 comprise the second array.

According to the invention, the first array of smaller electrodes e1 to e4 and the second array of larger electrodes E1 to E4 serve together to map 15 and locate arrhythmogenic foci. The second array of larger electrodes E1 to E4 also serves by itself to ablate the foci, once located. In the illustrated and preferred embodiment, the electrodes e1 to e4 in 20 the first array are not used for ablation. According to the invention, large lesions are created using the larger electrodes E1 to E4.

The ring electrodes e1 to e4 and E1 to E4 in the arrays can be made of a solid, electrically 25 conducting material, like platinum or gold, attached about the body. Alternatively, the ring electrodes e1 to e4 and E1 to E4 can be formed by coating the exterior surface of the body 12 with an electrically conducting material, like platinum or gold. The coating can be applied using sputtering, ion beam 30 deposition, or equivalent techniques.

According to the invention, the element 12 is part of an overall system 14 (see Fig. 2) that delivers, deploys, and operates the element 10 inside the heart.

35 As Fig. 2 shows, the system 14 includes a

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probe 16. The probe 16 has a guide tube 18 that carries the element 10 at its distal end (see Fig. 1 also). The probe 16 also includes a handle or grip 20 attached to the proximal end of the guide tube 18. The guide tube 18 carries a guide sheath 22, which slides fore and aft along the axis of the guide tube 18.

As Fig. 3 shows, sliding the guide sheath 22 forward (that is, toward the element 10), progressive straightens out the resilient body 12 while drawing it into the guide sheath 22.

As Fig. 3 also shows, when confined within the guide sheath 22, the body 12 assumes the generally linear, low profile shape of the guide sheath 22. The low profile shape allows the physician to employ conventional percutaneous access techniques to introduce the element 10 into a selected region of the heart through a vein or artery.

As Fig. 4 shows, sliding the guide sheath 22 to the rear (that is, away from the element 10), frees the body 12 from the confines of the sheath 22. The resilient memory of the body 12 causes it to return to its preformed shape, as Fig. 4 shows.

In the illustrated and preferred embodiment, a distal section 24 of the guide tube 18 (see Fig. 1) adjacent the element 10 can be remotely flexed or steered by the physician, as shown in phantom lines in Fig. 1. As shown in Fig. 2, by flexing the distal section 24, the physician steers the element 10. The handle 20 encloses a steering mechanism 26 for the distal section 24.

The steering mechanism 26 can vary. In the illustrated embodiment (see Fig. 5), the steering mechanism is the one shown in Copening U.S. Appli-

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cation Serial No. 07/789,260, which is incorporated by reference.

As Fig.5 shows, the steering mechanism 26 of this construction includes a rotating cam wheel 28 within the handle 20. An external steering lever 30 rotates the cam wheel 28. The cam wheel 28 holds the proximal ends of right and left steering wires 32.

The steering wires 32 extend along the associated left and right side surfaces of the cam wheel 28 and through the guide tube 18. The steering wires 32 connect to the left and right sides of a resilient bendable wire or spring (not shown) within the distal section 24.

As Fig. 2 shows, forward movement of the steering lever 30 bends the distal section 24 and element 10 down. Rearward movement of the steering lever 30 bends the distal section and element 10 up. By rotating the handle 20, thereby rotating the element 10, and thereafter manipulating the steering lever 30 as required, it is possible to maneuver the element 10 virtually in any direction. The steerable section 24 simplifies the positioning of the multiple purpose element 10 within the heart.

As Fig. 2 also shows, the system 14 also includes a controller 34. Each ring electrode e1 to e4 and E1 to E4 is electrically coupled to a signal wire (not shown) made of an electrically conductive material, like copper alloy. The signal wires extend through the guide tube 18 into the handle 20. One or more connectors 36 attach the proximal ends of the signal wires to an input plug on the controller 34.

The controller 34 includes a signal monitor module 38. The signal monitor module 38 receives

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5       electrical signals detected by the ring electrodes e1 to e4 and E1 to E4 in the first and second arrays. The signal monitor module 38 processes the electrical signals to assist the physician in locating the arrhythmogenic focus.

10       In the illustrated and preferred embodiment, the signal monitor module 38 also includes a display device 40. The display device 40 presents an analysis of electrical activity in a format that the physician can readily view and interpret.

15       The controller also includes an energy generator module 42 that creates and transmits radiofrequency electromagnetic energy to the ring electrodes E1 to E4 of the second array. The ring electrodes E1 to E4 emit the energy to ablate myocardial tissue.

20       In the illustrated and preferred embodiment, the generator module 42 operates to apply ablating energy to the ring electrodes E1 to E4 in a bipolar mode.

25       The controller also includes a switch 44 for selecting either the signal monitor module 38 or the energy generator module 42, thereby choosing between operating the element 10 in a SENSING MODE and an ABLATING MODE.

30       To deploy the element 10 within the heart, the physician uses a conventional introducer 46 (see Fig. 2) to establish access to a selected vein or artery.

35       With the guide sheath 22 moved forward and enclosing the element 10 (as Fig. 3 shows), the physician introduces the outer sheath through a conventional hemostatic valve 48 on the introducer 46. The physician progressively advances the guide

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sheath 22 and guide tube 18 through the access vein or artery into the desired location in the heart.

5 The physician observes the progress of the outer sheath using fluoroscopic or ultrasound imaging, or the like. The guide sheath 22 can include a radio-opaque compound, such as barium, for this purpose. Alternatively, a radio-opaque marker can be placed at the distal end of the guide sheath 22.

10 The guide sheath 22 can itself be preshaped with a memory that assumes a prescribed curvature for simplifying access through arterial access.

15 Once located in the desired location within the heart, the physician slides the guide sheath 22 back to free the element 10, as Fig. 4 shows. The element 10 resiliently springs into its curved shape.

20 The physician can use fluoroscopic or ultrasound imaging, or the like, to observe the element 10 while maneuvering it using the steering mechanism 26 into good contact with endocardial tissue. Alternatively, the physician can deploy an angioscopic or ultrasonic viewing probe into the heart to aid positioning.

25 Once the physician obtains good contact between the element 10 and the endocardium in the selected area, the physician takes steps to map the area.

30 Operating the switch 44, the physician selects SENSING MODE on the controller 34. This activates the signal monitor module 38 and its associated display 40.

35 The signal monitor module 38 receives signals from the electrodes e1 to e4 and E1 to E4 of the first and second arrays. These signals reflect

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a particular electrical event in the cardiac cycle. More particularly, the signal monitor module 38 converts the receipt of the event by the individual electrodes in time to graphical information that 5 allows the physician to "home in" upon the arrhythmogenic focus.

The module 38 can include a conventional microprocessor (e.g., with a 386/486 motherboard) to analyze the signals and converts them to graphic 10 images on the display 40.

The nature and character of the graphic images on the display 40 can vary. In the illustrated and preferred embodiment (as Fig. 6 shows) the display 40 presents an array of graphic 15 characters or icons (designated I1 to I8), equal in number to the total number of electrodes e1 to e4 and E1 to E4 carried on the element 10.

As shown in Fig. 6, the display 40 depicts the "footprint" of the loop body 12 of the element 20 10 (which is shown superimposed upon the display 40 in phantom lines).

In the illustrated display, the graphic characters take the shape of boxes (B1; B2; B3; and B4) and circles (I1; I2; I3; and I4). The boxes B1; 25 B2; B3; and B4 correspond with the electrodes E1 to E4, as counted from the distal tip of the element 10. The circles I1 to I4 correspond with the electrodes e1 to e4 in the same fashion.

A conventional CRT can present the display 30 40. Alternatively, the display 40 can presented on an LCD screen, or as a series of small lights or LCD's.

Preferably, the controller 34 includes an 35 input device 50 for entering commands. In the illustrated embodiment, the input device 50

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comprises a mouse, and the display 40 provides an interface that integrates input and output by accepting mouse-driven input from the display. However, other conventional input devices can be 5 used, like a touch screen, key board, push button, or a voice activated input.

According to another aspect of the invention, the individual icons B1 to B4 and I1 to I4 display within themselves graphic information 10 that represents the time sequence in which the associated ring electrodes detect the particular electrical event. This time sequence is derived by the signal module 38 and converted to graphical information.

15 In the illustrated and preferred embodiment, the sequence is ordered by time-gating. Time gating identifies the first electrode to receive the electrical event. It then orders the later receipt of the electrical events according to 20 electrode groups that receive later electrical events within prescribed time gates following the initial receipt.

25 In the preferred embodiment, the graphic information is displayed as colors within the icons B1 to B4 and I1 to I4.

More particularly, the sensing module 38 displays a selected first color C1 in the icon corresponding to the electrode that first receives the electrical event. The module 38 displays a 30 second color C2 in the icons corresponding to the electrodes that receive the event in the first time gate; a third color C3 in the icons corresponding to the electrodes that receive the event in the next time gate; and so on.

35 For example, the sensing system can cause

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the first receiving electrode box to display the color red. The subsequent electrode boxes sequentially fade using different colors (or different shades of the same color) from red to 5 yellow and eventually to blue. The colors displayed in the boxes visually guide the physician to the site of the arrhythmogenic focus.

Fig. 7A diagrammatically shows the propagation of an electrical event as waves of heart 10 cell depolarization and subsequent repolarization that spread during successive time intervals T1 to T4 from an arrhythmogenic focus F across the endocardium. Fig. 7A also shows the element 10 at a first location spaced from the focus.

Fig. 7B shows the corresponding display 40. As Fig. 7B shows, electrode E3 first senses the electrical event at T2, and therefore displays the first color C1 in icon B3. In the next time gate (from T2 to T3), electrodes e3 and e4 next sense the 15 event, and therefore display the second color C2 in icons I2 and I3. In the next time gate (from T3 to T4), electrodes E2 and E4 sense the event, and therefore display the third color C3 in icons B2 and B4. Electrodes E1, e1, and e2 will sense the event 20 in the next time gate (T4 to T5, not shown), and will therefore display the fourth color C4 in icons B1, I1, and I4.

As Fig. 7B shows, when the element 10 is 25 spaced from the focus F, there is a marked variation in colors displayed in the icons (i.e., from C1 to C4).

The time gate sequence derived by the 30 module 38 enables the physician to "home in" upon the focus F. More particularly, by identifying the 35 electrode (or electrodes) that first receive the

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event, the time gate sequence also identifies the direction in which the element 10 must be moved to reach the focus F. The physician must move the element in the direction of the electrode displaying the first color C1, until all electrodes in the element 10 display the same first color C1. At this time, the center of the element 10 registers with the focus F.

As Fig. 8A shows, the physician has moved the element 10 toward the first color electrode E3 (i.e., toward the left in Fig. 8A) to "home in" on the focus F. As Fig. 8B shows, the variation in colors in the display 40 becomes progressively less as the element 10 gets progressively closer to the focus F. The electrodes E3, e3, and e4 first receive the event essentially at the same time, and therefore display the first color C1 in icons B3, I2, and I3. All remaining electrodes receive the signal in the next time gate, and therefore all remaining icons B1, B2, B4 I1, and I4 commonly display the second color C2. That is, as the electrodes receive the event within fewer time gates, and fewer colors are displayed.

Fig. 9A shows the element 10 moved further toward the first color electrode E3 (i.e., further to the left in Fig. 9A) so that its center is on or nearer to the focus F. All electrodes E1 to E4 and e1 to e4 receive the electrical event at nearly the same time. All icons on the display 40 show the same first color C1, as Fig. 9B shows.

The display 40 in Fig. 9B indicates to the physician that it is time to begin the ablation process.

Instead on the continuous display 40, the signal monitor module 38 can be actuated by the

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physician to create a display of information acquired over a predetermined time period. In this arrangement, the physician would activate a switch, which would call upon the module 38 to compute and 5 display the time gate sequence over the predetermined time period. After moving the element 10 based upon this display, the physician would activate the switch again, and the module 38 would compute another time gate sequence, updating the 10 display. The physician would call for these update displays as required to complete the positioning of the element 10.

With the display, the physician does not have to know the absolute location of the electrodes 15 e1 to e4 and E1 to E4 or the arrhythmogenic focus F itself. The invention allows the physician to proceed to ablate the focus F without pinpointing its exact coordinates within the heart.

The time gating methodology just discussed 20 is based upon a relative time reference that begins when the first electrode receives the event and relates later receipt by other electrodes to this. Alternatively, the time gate could be based upon a real time reference generated from an external 25 source, like a surface ECG signal or an electrogram obtained from a stationary catheter external to the element 10.

A real time reference allows the physician 30 to relate the timing of the local electrogram sensed by the element to the real time reference, and thereby also identify an appropriate ablation site. For example, using the surface ECG signal as the 35 time reference in relation to the local electrogram sensed by the element 10, the physician can identify the earliest depolarization site in the heart, which

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often is associated with an appropriate lesion site.

Alternatively, the display can integrate electrogram recordings made by the various electrodes E1 to E4 and e1 to e4 on the element 10. 5 Fig. 10A shows the element 10 in generally the same location relative to the focus F as Fig. 7A. The alternative display 41 shows the individual electrogram signals recorded by the individual electrodes on the element 10 (designated as 52(E1 to E4) and 52 (e1 to e4)), arranged from top to bottom according to time that the electrodes receive the event. 10

The electrogram signals 52 (E1 to E4/e1 to e4) can be displayed in the colors according to the 15 time gate color code assigned to the display 40 shown in Fig. 7B. That is, the electrogram signal 52(E3) of the first electrode E3 receiving the event could be displayed in color C1; the electrogram signals of the next electrodes e2 and e3 receiving 20 the event could be displayed in color C2, and so on.

Alternatively, or in addition to the display of the time gate colors C1 to C4, the 25 display 41 could include a number (shown in the lower left hand corner of the electrogram signal display in Fig. 10B) representing the time of receipt of the signal relative to a real time reference.

By showing electrogram signal morphology from each electrode on the element 10, the display 30 41 can be used to confirm the time gate analysis made by the signal monitor module 38. The electrogram signal morphology can be used by the physician to confirm the identity of the region where reentry occurs. For example, in Fig. 10B, the 35 electrogram signal 52(E3) (selected as a possible

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reentry pathway by the time gate analysis) also shows diastolic potentials (designated DP), further indicating close proximity to a re-entrant pathway (where local depolarization occurs in "diastole").

5 Operating the switch 44, the physician selects ABLATING MODE on the controller 34. This readies the energy generator module 42. The physician can then, upon additional commands, supply ablation energy to the element 10.

10 The module 42 operates in a bipolar mode to set the polarity of electrodes E1 to E4 in the second array to deliver radiofrequency energy between electrodes E1/E2; E2/E3; E3/E4; and E4/E1 (as Fig. 10 shows). The bipolar ablation sequence 15 can be accomplished in various fashions, including sequential burns, phase offsets, or differing voltages from electrode to electrode. The controller 34 can employ automated, microprocessor controlled voltage switching among the electrodes E1 20 to E4 of the second array to achieve the desired bipolar ablation result.

25 As a result, a large lesion L forms occupying within and several millimeters beyond the loop body 12, as Figs. 11 and 12 show. The lesion L is observed to also bow further outward in the region of the ablation electrodes E1, E2, E3, and E4. A typical lesion L formed by the element 10 measures in diameter about 6 mm more than the outer diameter of the element 10. The lesion L extends to 30 a depth up to about 1 cm, depending upon the power applied. It has been observed that the application of about 40 watts of ablating energy in a bipolar mode results in a 1 cm lesion depth.

35 Using the switch 44, the physician can successively toggle between the SENSING MODE and the

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ABLATING MODE until the elimination of the focus has been confirmed.

5        The physician then slides the guide sheath 22 forward to enclose the element 10. The physician then withdraws the guide tube 18 and guide sheath 22 from the heart, ending the procedure.

10        In an alternative embodiment (not shown) conventional catheter steering technology can be used to shape the element 10. In this arrangement, the element 10 could be selectively bent or flexed without using the guide sheath 22 from a generally straight configuration to the desired curved configuration. A mechanism in the handle 20 could be used to remotely bend or flex the element 10 for 15        this purpose.

20        Other alternative embodiments of the element would also not require an guide sheath 22. One alternative embodiment (not shown) includes the use of "shape memory" material that would change the shape of the element 10 upon exposure to the temperature conditions present in the heart. Another alternative embodiment would include an internal sliding stylet to shape the element 10, as disclosed in copending patent Application Serial No. 25        XXX,XXX, filed -- and entitled "----" and copending patent Application Serial No. XXX,XXX, filed -- and entitled "----". In still another alternative embodiment, the element 10 itself may possess sufficient inherent flexibility to permit its advancement through the vasculature without a guide 30        sheath 22.

35        Nevertheless, use of the sliding guide sheath 22 is preferred, because it allows the element 10 to be made with enough inherent stiffness to generate greater contact forces with the tissue.

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According to the invention, the selected arrhythmogenic focus can be mapped and ablated at one time, without the need to employ a separate ablation probe. The invention provides better 5 tissue/electrode contact and stability, to minimize the occurrence of ineffective applications of ablating energy. Furthermore, the invention provides lesions of enlarged size. The invention thereby provides shorter and more effective 10 procedures, with greater incidence of curative lesions.

The features of the invention are set forth in the following claims.

We Claim:

1. An assembly for sensing electrical events and forming bipolar lesions in heart tissue comprising

5 a support body for contacting heart tissue, the body having a generally circular shape peripherally forming an open interior region enclosing a portion of the heart tissue contacted,

10 an array of first electrodes positioned in a spaced apart pattern on the support body about the open interior region, the first electrodes having a first physical dimension,

15 an array of second electrodes positioned in a spaced apart pattern on the support body about the open interior region, the second electrodes being mutually grouped in diametrically opposite bipolar pairs across the open interior region, the second electrodes having a second physical dimension larger than the first physical dimension,

20 signal wires electrically coupled to the first and second electrodes and being operative (i) in a first mode for conveying signals representing electrical events sensed by both the first and second electrodes when in contact with heart tissue, and (ii) in a second mode for conveying ablating 25 energy only to the bipolar pairs of the second electrodes and not to the first electrodes to form a bipolar lesion in the heart tissue enclosed within the open interior region of the support body.

2. An assembly according to claim 1

wherein the first electrodes comprise ring electrodes that encircle the support body.

3. An assembly according to claim 1

wherein the second electrodes comprise ring electrodes that encircle the support body.

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4. An assembly according to claim 1 wherein both the first and second electrodes comprise ring electrodes that encircle the support body.

5. An assembly according to claim 1 wherein the spaced apart patterns of the arrays sequentially locate one of the first electrodes next to one of the second electrodes along the support body.

6. An assembly according to claim 1 wherein the first physical dimension ranges in length between about 0.5 mm to 1.5 mm, and wherein the second physical dimension ranges in length 2 mm to 5 mm.

7. An assembly according to claim 1 wherein at least some of the first and second electrodes comprise a metallic element made of electrically conducting material.

8. An assembly according to claim 1 wherein at least some of the first and second electrodes comprise an electrically conducting coating applied upon the support body.

9. A catheter for sensing electrical activity and ablating tissue in heart tissue comprising

5 a guide body having a distal end, an electrode array carried on the distal end of the guide body including

10 a support body for contacting heart tissue, the body having a generally circular shape peripherally forming an open interior region enclosing a portion of the heart tissue contacted, an array of first electrodes positioned in a spaced apart pattern on the support body about the open interior region, the first

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15                   electrodes having a first physical dimension, and  
                         an array of second electrodes  
                         positioned in a spaced apart pattern on the support  
                         body about the open interior region, the second  
                         electrodes being mutually grouped in diametrically  
                         opposite bipolar pairs across the open interior  
20                   region, the second electrodes having a second  
                         physical dimension larger than the first physical  
                         dimension, and

25                   signal wires electrically coupled to the  
                         first and second electrodes and being operative (i)  
                         in a first mode for conveying signals representing  
                         electrical events sensed by both the first and  
                         second electrodes when in contact with heart tissue,  
                         and (ii) in a second mode for conveying ablating  
30                   energy only to the bipolar pairs of the second  
                         electrodes and not to the first electrodes to form  
                         a bipolar lesion in the heart tissue enclosed within  
                         the open interior region of the support body.

10. A catheter according to claim 9  
      wherein at least some of the first  
      electrodes comprise ring electrodes that encircle  
      the support body.

11. A catheter according to claim 9  
      wherein the spaced apart patterns of the  
      arrays sequentially locate one of the first  
      electrodes next to one of the second electrodes  
5                   along the support body.

12. A catheter according to claim 9  
      wherein the first physical dimension ranges  
      in length between about 0.5 mm to 1.5 mm, and  
      wherein the second physical dimension  
5                   ranges in length 2 mm to 5 mm.

13. An assembly according to claim 9  
      wherein at least some of the first and

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second electrodes comprise a metallic element made of electrically conducting material.

14. An assembly according to claim 9 wherein at least some of the first and second electrodes comprise an electrically conducting coating applied upon the support body.

15. A catheter according to claim 9 wherein the guide body includes a proximal end, and

5 and further including a handle carried on the proximal end of the guide body for manipulating the guide body.

16. A catheter according to claim 9 and further including means for steering the distal end of the guide body.

17. A system for sensing electrical events in heart tissue comprising

5 an electrode array including a support body having a curvilinear shape for contacting heart tissue, an array of electrodes positioned in a spaced apart pattern on the support body, and signal wires electrically coupled to the electrodes, and

10 a signal monitor electrically coupled to the signal wires for conveying signals representing electrical events sensed by electrodes when in contact with heart tissue, the monitor including display means for displaying graphic information that represents the time sequence in which the electrodes on the support body sense a given 15 electrical event.

18. A system according to claim 17 wherein the display means includes icons symbolizing the first and second electrodes.

19. A system according to claim 17 wherein the display means includes alpha-

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numeric characters that change according to the time sequence of sensing.

20. A system according to claim 17 wherein the display includes colors that change according to the time sequence of sensing.

21. A system according to claim 17 wherein the display shows electrogram recordings made by the electrodes.

22. A system according to claim 21 wherein the display orders the presentation of the electrogram recordings from first to last according to time sequence of sensing.

23. A system according to claim 17 wherein the controller includes means for time gating the sequence in which the electrodes sense the given electrical event.

24. A system according to claim 17 wherein the time sequence begins when the first one of the electrodes senses the given event.

25. A system according to claim 17 wherein the time sequence begins based upon a time reference initiated by an external source.

26. A system according to claim 17 wherein the controller includes means for conveying energy to one or more of the electrodes for ablating heart tissue.

27. A method for sensing electrical events in heart tissue to identify the location of an arrhythmogenic focus comprising the steps of establishing a contact site between heart tissue and an electrode array that includes a support body having a curvilinear shape for contacting heart tissue, an array of electrodes positioned in a spaced apart pattern on the support body, and signal wires electrically coupled to the

10       electrodes,

monitoring signals representing electrical events sensed by the electrodes in the contact site,

15       displaying the signals as graphic information that represents the time sequence in which the electrodes on the support body sense a given electrical event, and

20       moving the electrode array to one or more contact sites in the general direction of the electrode that first sensed the electrical event until a contact site is reached in which all electrodes on the array sense the given electrical event at generally the same time, this contact site being close to the arrhythmogenic focus.

28. A method according to claim 27 wherein, in displaying the signals, the time sequence of sensing is time gated.

29. A method according to claim 28 wherein, in displaying the signals, the time sequence begins when the first one of the electrodes senses the given event.

30. A method according to claim 28 wherein, in displaying the signals, the time sequence begins based upon a time reference initiated by an external source.

31. A method according to claim 28 wherein, in displaying the signals, icons are displayed symbolizing the electrodes.

32. A method according to claim 28 wherein, in displaying the signals, alphanumeric characters are displayed that change according to the time sequence of sensing.

33. A method according to claim 28 wherein, in displaying the signals, colors are displayed that change according to the time

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sequence of sensing.

34. A method according to claim 28 wherein, in displaying the signals, electrogram recordings made by the electrodes are displayed.

35. A method according to claim 34 wherein, in displaying the signals, the electrogram recordings are presented in order from first to last according to time sequence of sensing.

36. A method for sensing electrical events in heart tissue to identify the location of an arrhythmogenic focus for ablation comprising the steps of

5 establishing a contact site between heart tissue and an electrode array that includes a support body for contacting heart tissue, the body having a generally circular shape peripherally forming an open interior region enclosing a portion of the heart tissue contacted, an array of electrodes positioned in a spaced apart pattern on the support body about the open interior region, the electrodes being mutually grouped in diametrically opposite bipolar pairs across the open interior region,

10 15 monitoring signals representing electrical events sensed by the electrodes in the contact site, displaying the signals as graphic information that represents the time sequence in which the electrodes on the support body sense a given electrical event, and

20 25 moving the electrode array to one or more additional contact sites in the general direction of the electrode that first sensed the electrical event until a contact site is reached in which all electrodes on the array sense the given electrical

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event at generally the same time, this contact site enclosing the arrhythmic focus within the open interior region of the support body, and

30 conveying ablating energy to the bipolar pairs of the electrodes to form a bipolar lesion in the heart tissue enclosed within the open interior region of the support body.

37. A method according to claim 36 wherein, in displaying the signals, the time sequence of sensing is time gated.

38. A method according to claim 36 wherein, in displaying the signals, the time sequence begins when the first one of the electrodes senses the given event.

39. A method according to claim 36 wherein, in displaying the signals, the time sequence begins based upon a time reference initiated by an external source.

40. A method according to claim 36 wherein, in displaying the signals, icons are displayed symbolizing the electrodes.

41. A method according to claim 36 wherein, in displaying the signals, alphanumeric characters are displayed that change according to the time sequence of sensing.

42. A method according to claim 36 wherein, in displaying the signals, colors are displayed that change according to the time sequence of sensing.

43. A method according to claim 36 wherein, in displaying the signals, electrogram recordings made by the electrodes are displayed.

44. A method according to claim 43 wherein, in displaying the signals, the

- 31 -

electrogram recordings are presented in order from first to last according to time sequence of sensing.

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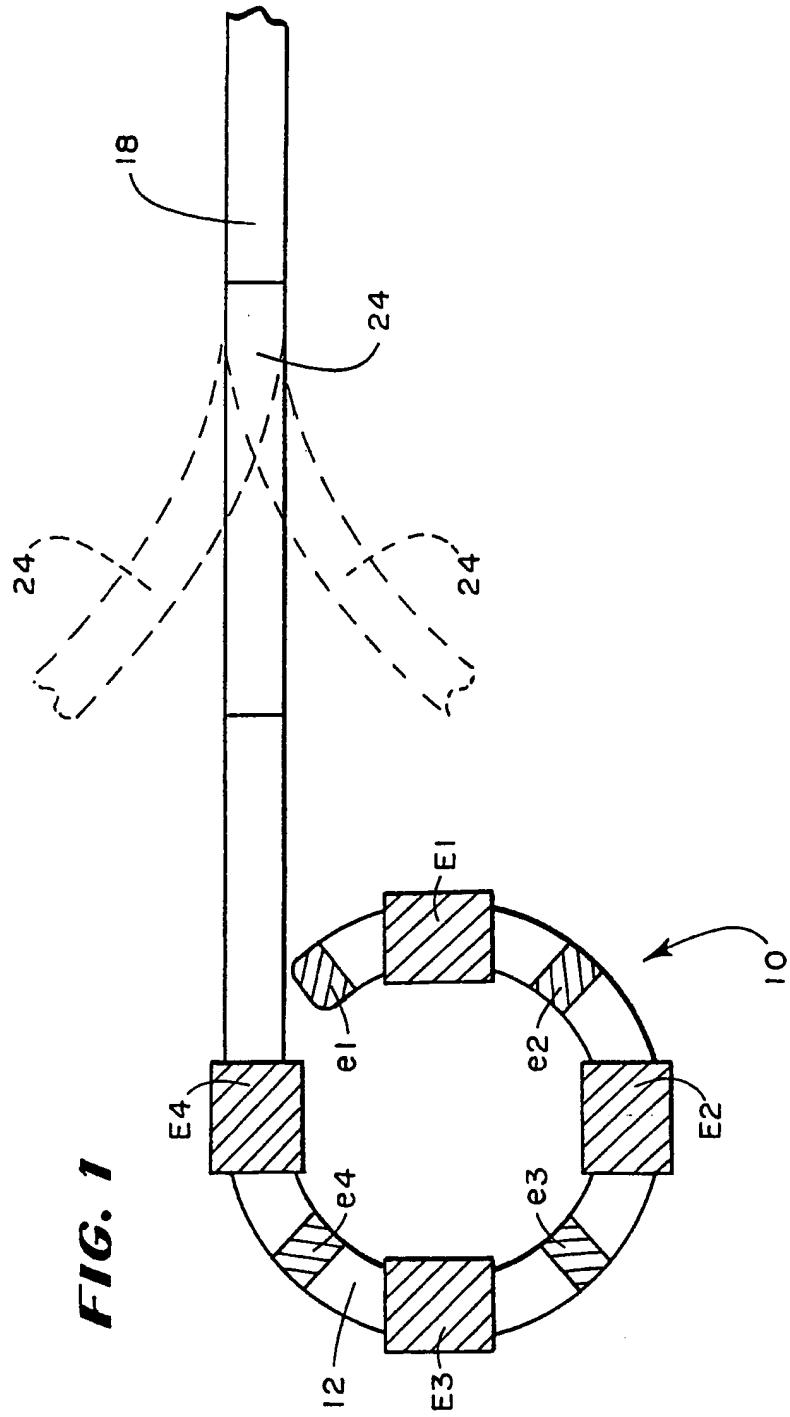
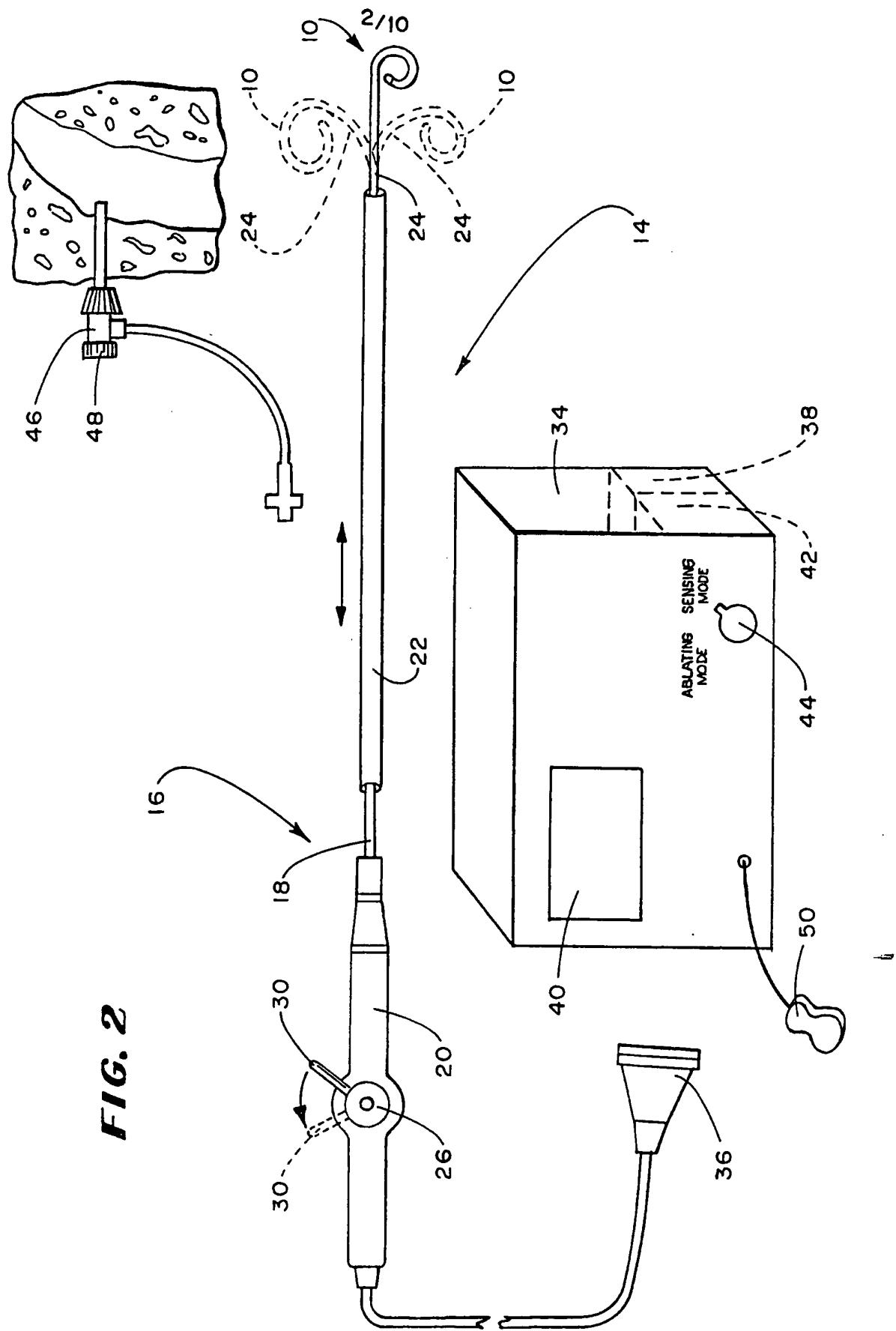
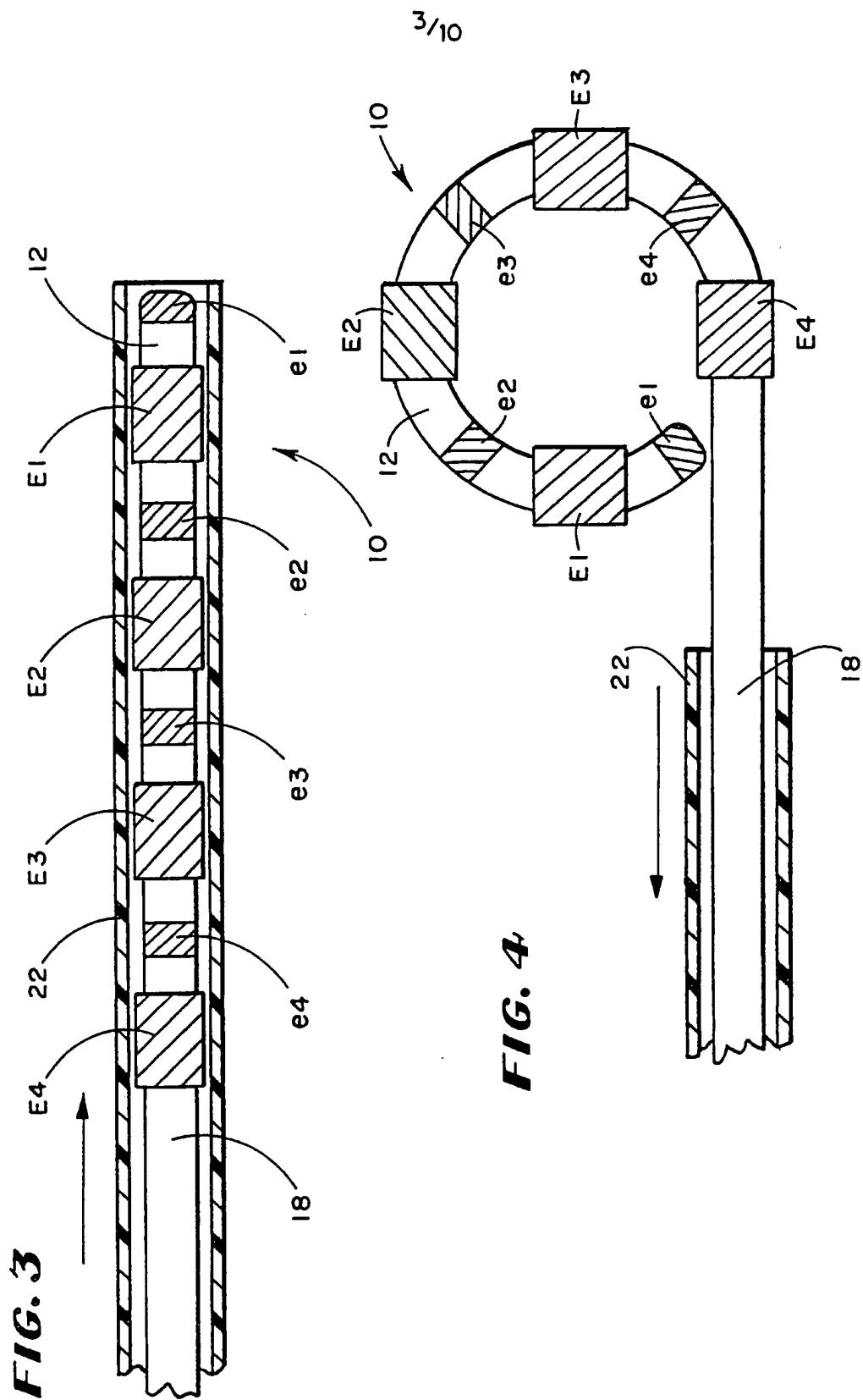
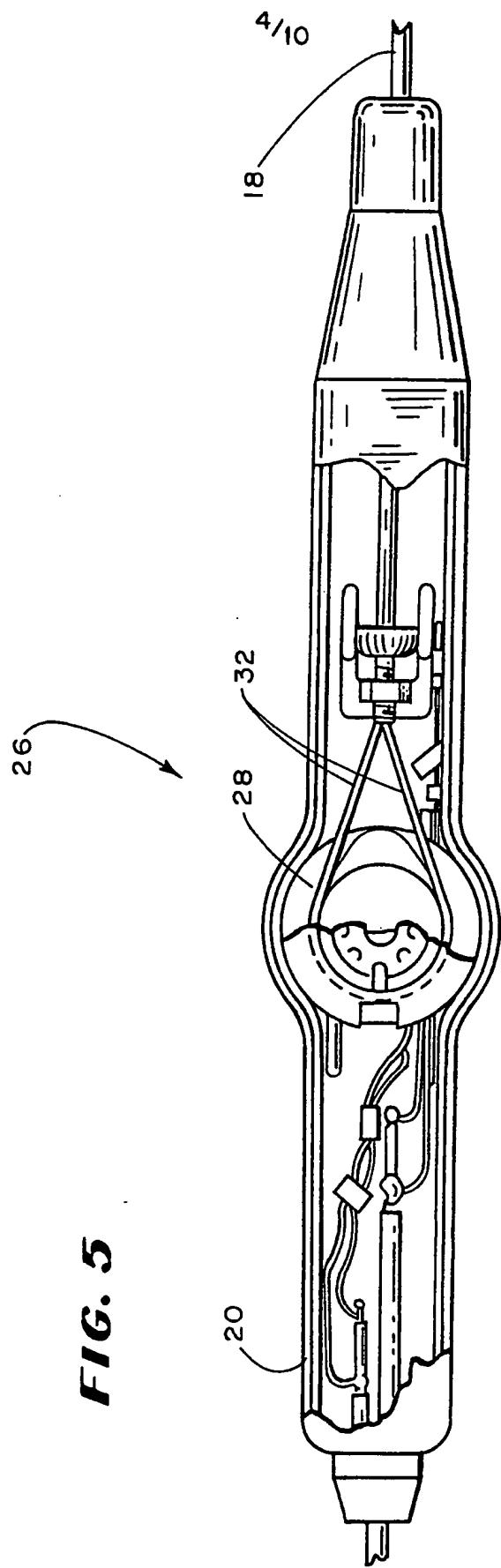


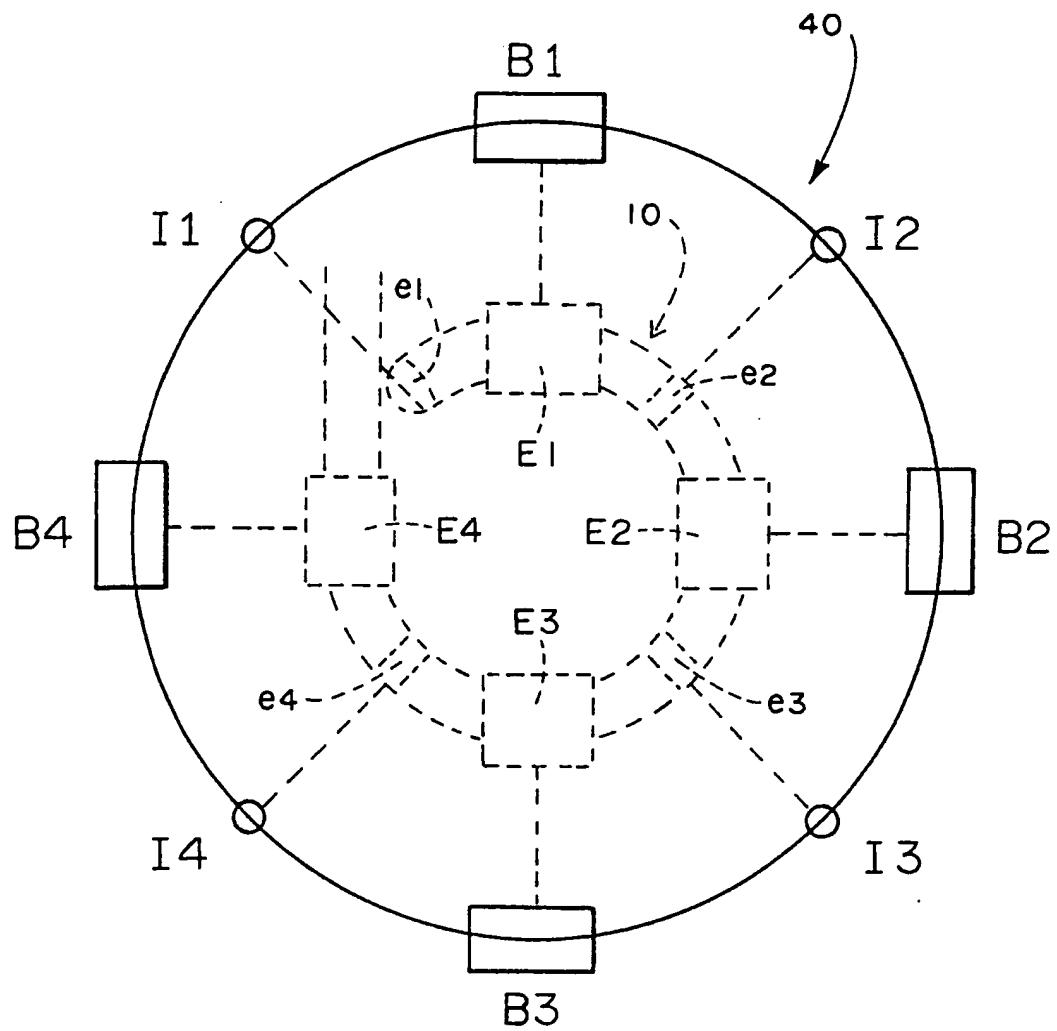
FIG. 2

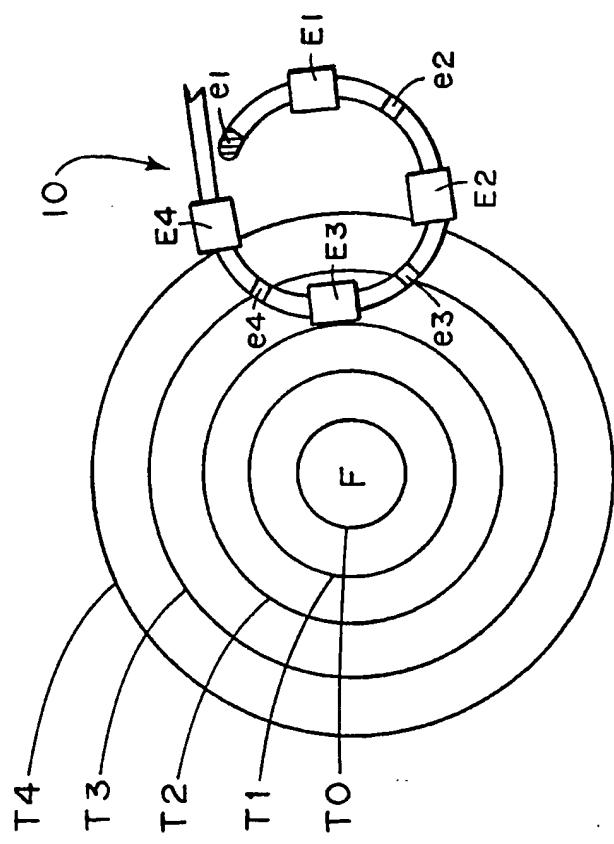
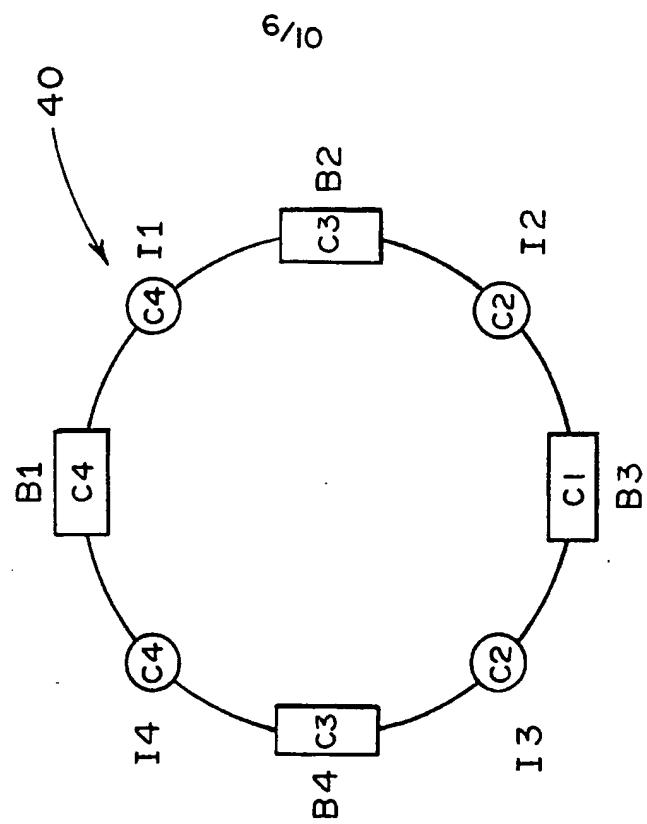


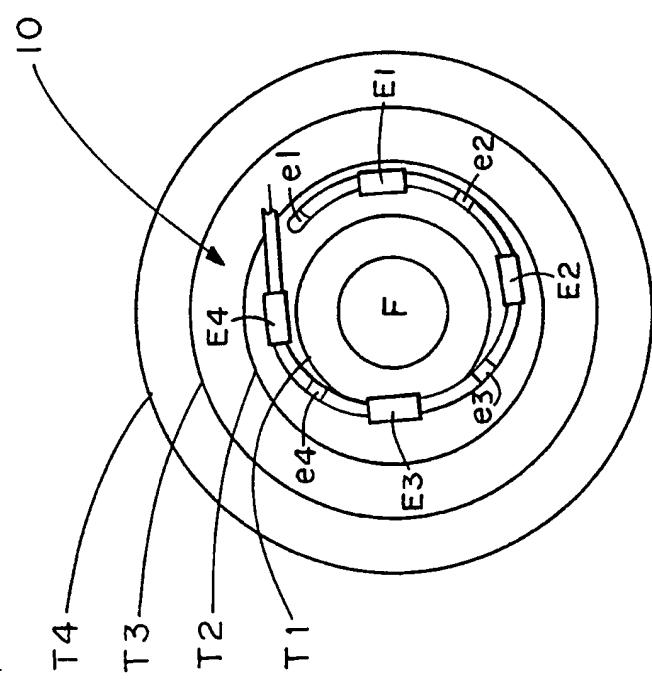
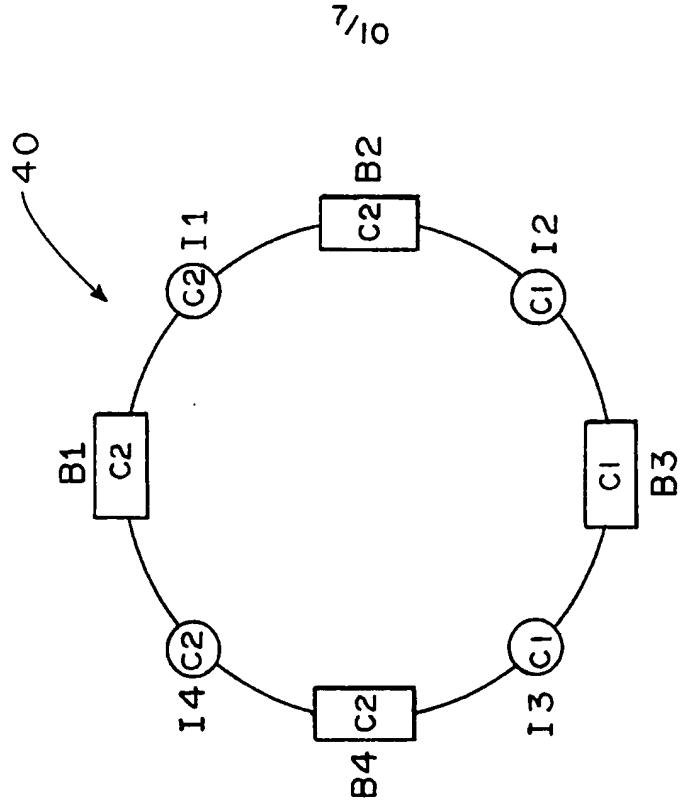


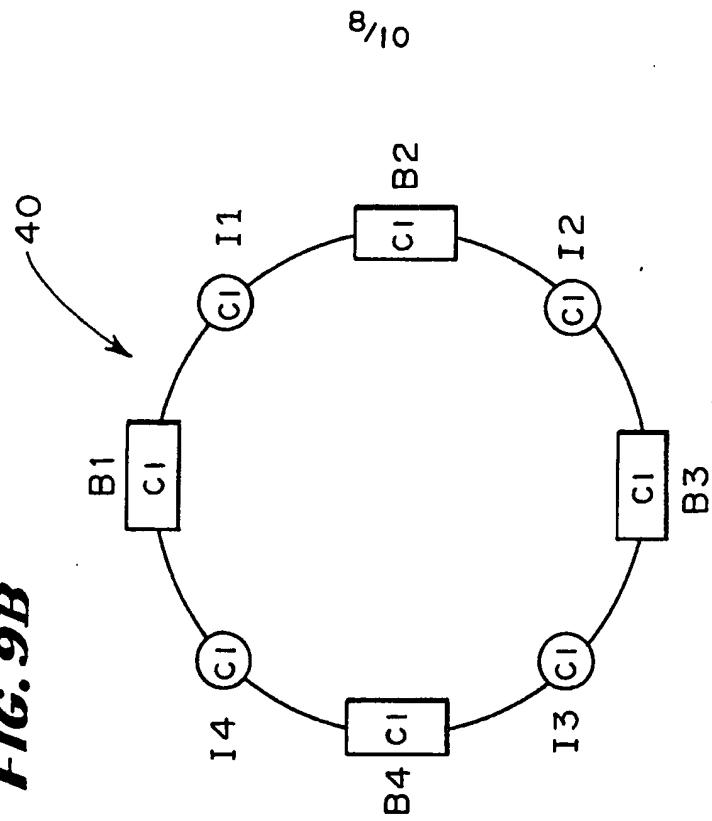
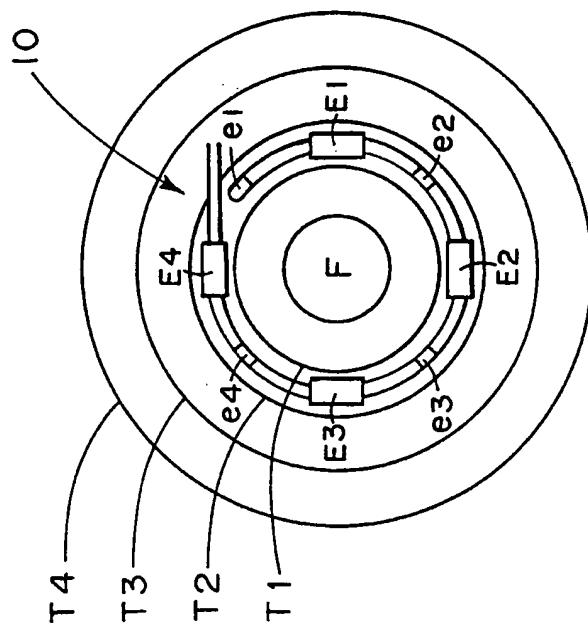


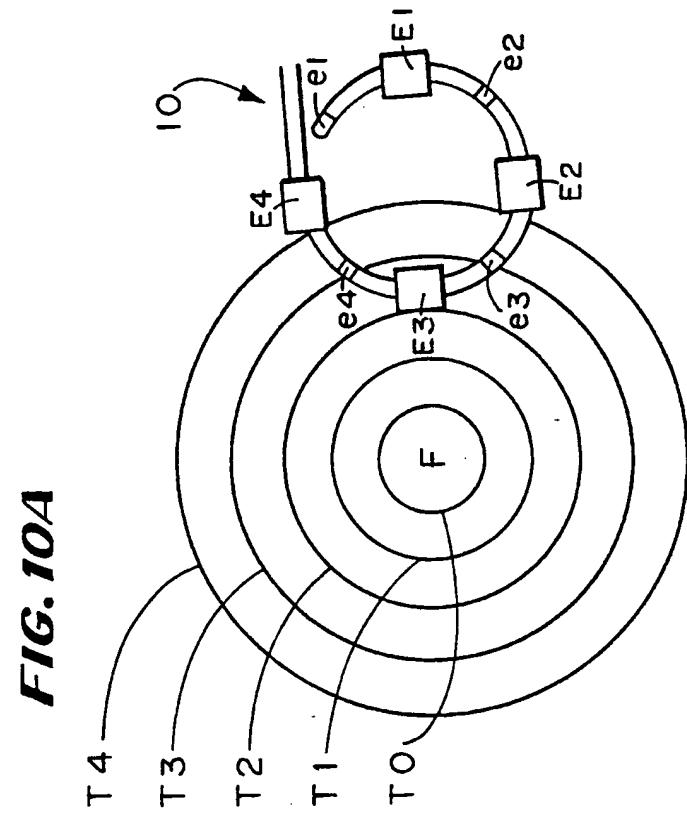
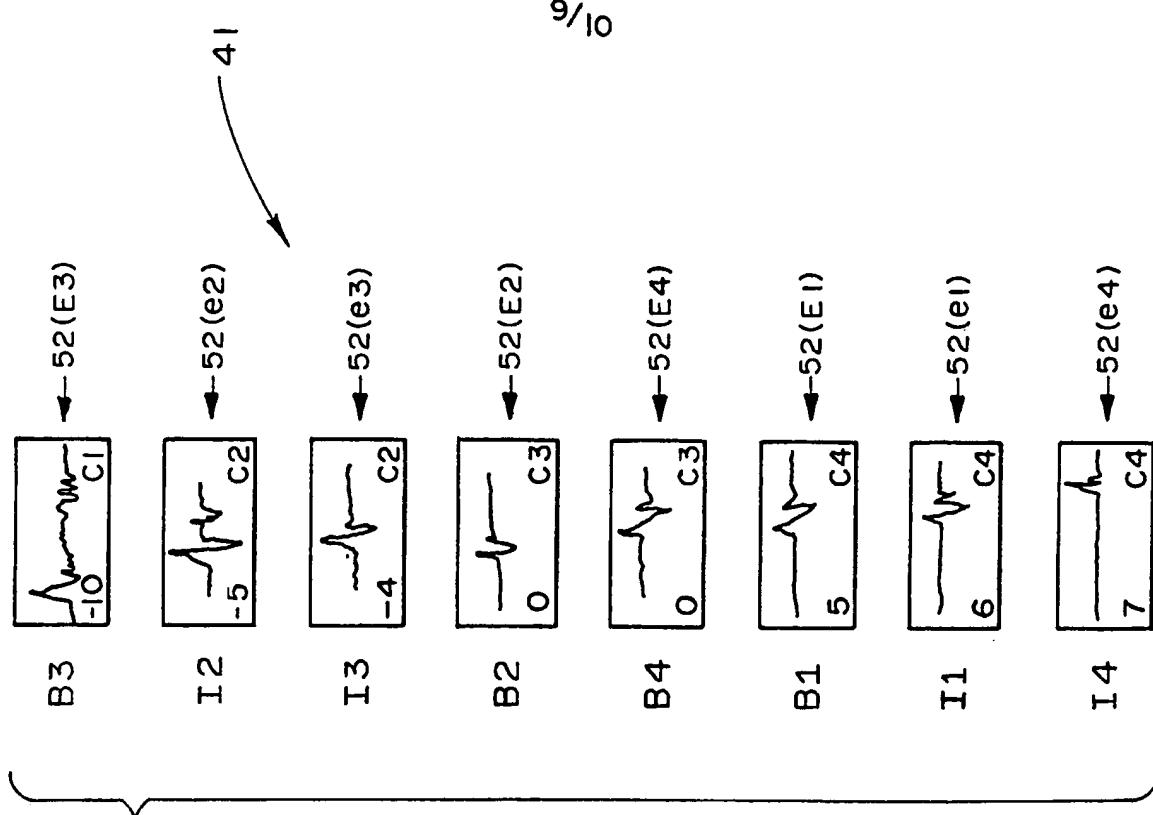
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**FIG. 6**

**FIG. 7A****FIG. 7B**

**FIG. 8A****FIG. 8B**

**FIG. 9B****FIG. 9A**



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FIG. 12

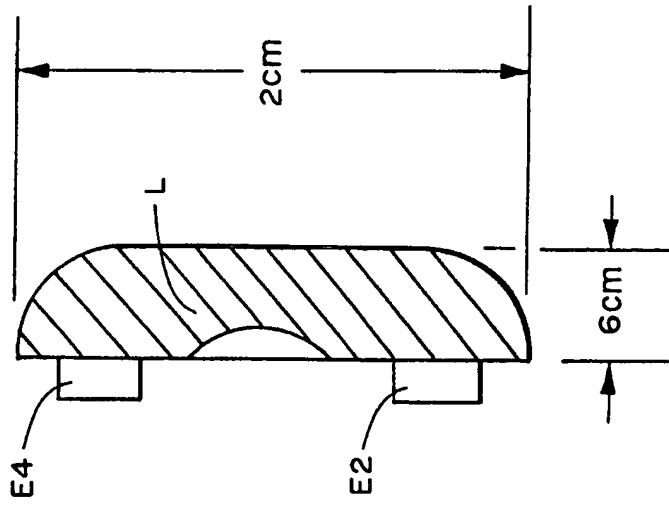
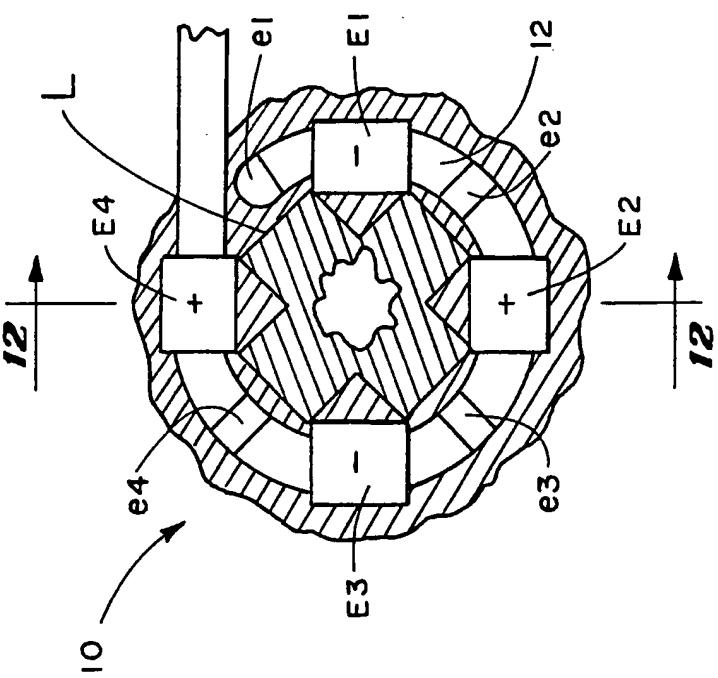


FIG. 11



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US94/11494

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :A61B 5/04

US CL :128/642

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 128/642; 604/264, 280

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

NONE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US, A, 5,239,999, (IMRAN), 31 August 1993. See entire document.	1, 9, 17, 28,36
X	US, A, 5,327,889, (IMRAN), 12 July 1994. See entire document.	1, 9, 17, 27, 36
X	US, A, 5,328,467, (EDWARDS ET AL.), 12 July 1994. See entire document.	1, 9, 17, 27, 36
X	US, A, 5,293,868, (NARDELLA), 15 March 1994. See entire document.	1, 9, 17, 27, 36
X	US, A, 5,275,162, (EDWARDS ET AL.), 04 January 1994. See entire document.	1-44

<input type="checkbox"/>	Further documents are listed in the continuation of Box C.	<input type="checkbox"/>	See patent family annex.
*A	Special categories of cited documents:		
"A"	document defining the general state of the art which is not considered to be of particular relevance	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E"	earlier document published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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"O"	document referring to an oral disclosure, use, exhibition or other means	"&"	document member of the same patent family
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search	Date of mailing of the international search report
07 DECEMBER 1994	13 MAR 1995
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer MANUEL MENDEZ Telephone No. (703) 308-2221